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APPLICATION NUMBER

020455

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/091,170

03/04/2002

HELLWAT-7

CONFIRMATION NO. 9952

FORMALITIES LETTER

OC000000007759090

LATHROP & CLARK LLP 740 REGENT STREET SUITE 400 P.O. BOX 1507 MADISON, WI 537011507

Date Mailed: 04/01/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$138.
 - \$54 for 3 total claims over 20.
 - \$84 for 1 independent claims over 3.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1008.

A copy of this notice MUST be returned with the reply 10091170

740.00 DP 130.00 DP 54.00 OP 84.00 OP

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

COPY OF PAPERS ORIGINALLY FILED Approved for use through 9/30/00. OMB 0651,0031 Please type a plus sign (+) inside this box -Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction are required to respond to a collection of information unless it displays a valid OMB control number **Application Number** 10/091,170 TRANSMITTAI Filing Date March 4, 2002 **FORM** First Named Inventor Edward T. Maas **Group Art Unit** (To be used for all correspondence after initial filing) 1724 **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number HELLWAT-7 Fee Transmittal Form Assignment Papers After Allowance Communication To (For an Application) Group Fee Attached Drawing(s) Appeal Communication to Board Of Appeals and Interferences Amendment / Response Licensing-related Papers Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) After Final And Accompanying Petition **Proprietary Information** To Convert a Provisional Application Status Letter **Extension of Time Request** Power of Attorney, Revocation Additional Enclosure(s) Change of Correspondence Address (Please identify below): Terminal Disclaimer **Express Abandonment Request** Small Entity Statement * Executed Declaration and Power of Attorney with Information Disclosure Statement attached copy of patent as Request for Refund filed **Certified Copy of Priority** Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts Under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Individual name David R.J. Stiennon, Reg. No. Signature May 13, 2002 Date **CERTIFICATE OF MAILING** I her by certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this May 13, 2002 Typed or printed name David R.J. Stiennon, Reg. No. 33212

Signature

May 13, 2002

Date

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FEE TRANSMITTAL									Complete if Known							
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number. TRADEMA Complete if Known FEE TRANSMITTAL **Application Number** 10/091,170 Filing Date March 4, 2002 Edward T. Maas First Named Inventor Note: Effective October 1, 1997, **Group Art Unit** 1724 Patent fees are subject to annual revision **Examiner Name** TOTAL AMOUNT OF PAYMENT **HELLWAT-7** \$1,008.00 Attorney Docket Number METHOD OF PAYMENT (check one) FEE CALCULATION (Continued) 1. The Commissioner is hereby authorized to charge 3. ADDITIONAL FEES 図 indicated fees and credit any over payments to: Large Entity Small Entity Deposit 15-0660 Account Fee Fee Fee Fee Fee Paid Number Fee Description Code (\$) Code (\$) 105 \$130.00 205 65 130 Surcharge - late filing fee or oath D posit Lathrop & Clark 127 50 227 25 Surcharge - late provisional filing fee or Account Name cover sheet Charge Any Additional Fee Charge the Issue Fee Set in 37 139 130 139 130 Non-English specification \boxtimes CFR 1.18 at the Mailing of the Required Under Notice of Allowance 147 2,520 147 2,520 For filing a request for reexamination 37 CFR 1.16 and 1.17 112 920* 112 9201 Requesting publication of SIR prior to 2. Payment Enclosed: X Examiner action Other Money 113 1,840* 113 1,840* Requesting publication of SIR after П **Check** Order Examiner action **FEE CALCULATION** Extension for reply within first month 115 110 215 55 1. FILING FEE 116 400 216 200 Extension for reply within second month Larg Entity **Small Entity** 17 920 217 460 Extension for reply within third month **Fee Description** Fee Paid Fee rice Fee Fee 18 1,440 218 720 Extension for reply within fourth month Code (\$) Code (\$) 128 1960 228 980 Extension for reply within fifth month 101 201 370 \$740.00 740 Utility filing fee 119 320 219 160 Notice of Appeal 106 330 206 165 Design filing fee 120 320 220 160 Filing a brief in support of an appeal 107 510 207 255 Plant filing fee 121 280 221 140 Request for oral hearing 108 740 208 370 Ressue filing fee 138 1.510 138 Petition to institute a public use 1.510 proceeding 114 160 214 80 Provisional filing fee 140 55 110 240 Petition to revive - unavoidable \$740.00 SUBTOTAL (1) 141 1,280 241 640 Petition to revive - unintentional Fee Paid 2. CLAIMS Extra Fee from 142 1.280 242 640 Utility issue fee (or reissue) below 18 \$54.00 **Total Claims** -20= 143 460 243 230 Design issue fee Independent -3= 84 \$84.00 144 620 244 310 Plant issue fee Claims 122 130 122 130 Petitions to the Commissioner Multiple Dependent Claims 280 \$0.00 123 50 123 50 Petitions related to provisional applications 126 180 126 180 Submission of Information Disclosure Large Entity Small Entity Fee Fee Fee Fee Fee Description 581 40 581 Recording each patent assignment per 40 Code (\$) Code property (times number of properties) Filing a submission after final rejection 103 18 203 9 Claims in excess of 20 146 740 246 370 (37 CFR 1.129(a)) 102 84 202 42 Independent Claims in excess of 3 149 740 249 For each additional invention to be examined (37 CFR 1.129(b)) 104 280 204 140 Multiple dependent claims Reissue independent claims over 109 84 209 42 original patent Other fee (specify) Reissue claims in excess of 20 and 110 18 210 9 over original patent Other fee (specify) SUBTOTAL (2) \$138.00 *Reduced by Basic Filing Fee Paid SUBTOTAL (3) \$130.00 SUBMITTED BY Complete (if applicable) 33212 Typed or Printed David R.J. Stiennon Reg. Number Name Date Signature May 13, 2002 **Deposit Account User ID** 15-0660